

## What is NSCP?

The <u>National Society of Compliance Professionals</u> is a professional membership organization for compliance professionals in the financial services industry. NSCP membership offers a wide range of compliance resources, continuing education, and regulatory advocacy. NSCP provides members with essential information on compliance topics, regulatory insights, and useful tools, including its premier compliance publication, online and in-person events, interactive online forums, and comprehensive resource library. NSCP members have access to a diverse community of compliance professionals who willingly share their knowledge and expertise.

## What is NSCP dedicated to?

- **Education:** providing helpful information, new insights, and practical tools for compliance application at all learning levels.
- **Communication**: voicing the questions and concerns of the compliance community to regulatory authorities.
- **Collaboration:** cultivating a diverse, equitable and inclusive networking organization where members share their knowledge and expertise with one another.
- **Participation:** promoting member involvement and the exchange of new ideas, best practices, and useful tools.

<u>NSCP</u> not only gives members the tools and resources they need to be successful in their compliance role, but advocates for them as one voice at the highest regulatory level, consequently benefitting not only the NSCP community, but the entire compliance industry as a whole.

## What are the benefits of an NSCP membership?

- Access to essential information on compliance topics and regulatory insights
- Access to NSCP Currents On Demand, with original, high-level articles, practical tools, and monthly NSCP Currents Live webinars
- Opportunities to engage in industry forums and regulatory working groups facilitated by leading experts in the field
- Opportunities to ask and answer questions through NSCP's interactive online communities and compliance forum (including the opportunity to do so anonymously, if preferred)
- The ability to engage with other compliance professionals through networking opportunities, both in-person and remote
- Complimentary access to live Regulatory Interchange webinars with the SEC, FINRA and NASAA regulators (also recorded for on-demand access). Discounted registration for in-person conferences.
- The ability to engage and achieve recognition with the NSCP community through volunteering for micro, short-term, and long-term opportunities

## How much does membership cost annually?

- For individual members: \$500
- For group membership:
  - Multi-Member: 3-10 Members: \$450 per member
  - Multi-Member: 11-25 Members \$375 per member
  - Multi-Member: 26-50 Members \$315 per member
  - Multi-Member: 50+ Members \$265 per member

"As a CCO and NSCP member of several years, I can personally attest that the value of an NSCP membership by far exceeds its cost."

– Mary Johnston, Chief Compliance Officer and Director of Human Resources at CV Advisors LLC

NSCP WEBSITE

NSCP MEMBERSHIP